

Schedule of Fees and Charges for

Corporate clients

Financial Instruments Accounts Administration and
Trading /Residents/



**Vairāk
iespēju**

**=
Citadele**

Financial instruments accounts administration

1. Financial instruments accounts administration

| Service | Fee |
|--|---|
| 1.1. Financial instruments safekeeping fee (per month) | 0.02% (min. 1 EUR), free of charge for all CBL Asset Management Funds |
| 1.2. Receipt of non-Baltic / transfer (all markets) of financial instruments | 30 EUR |
| 1.3. Purchase-redemption transaction settlements. Settlements of transactions with financial instruments excluding transactions in fund shares, financial instruments traded on the Baltic stock exchanges and stocks and ETFs traded on USA, Canadian stock exchanges | 10 EUR |
| 1.4. Internal transfer (between two Citadele bank financial instruments accounts, (commission charged from transferor) | 10 EUR |
| 1.5. Receipt / transfer of financial instruments against payment (RVP/ DVP) | 50 EUR |
| 1.6. Deregistration of financial instruments | 50 EUR |
| 1.7. Processing of financial instruments corporate actions orders | 35 EUR + actual expenses |
| 1.8. Preparation or submission of tax certification documents to local and foreign depositories | 35 EUR + actual expenses |
| 1.9. Commission fee for negative balance of customer's money financial instruments funds accounts (percent per annum) | 24% |

Trading

2. Trading¹

| Service | Fee |
|--|--|
| 2.1. Debt securities transactions | 0.1% (min. 50 EUR) |
| 2.2. Stock transactions (incl. ETF, ETN, ETC, ADR, GDR): | |
| 2.2.1. At Baltic, European, Scandinavian exchanges | 0.4% (min. 20 EUR) |
| 2.2.2. At USA and Canadian stock exchanges | 0.04 USD/CAD per share (min. 25 USD/CAD) |
| 2.3. Transaction with options of the USA stock exchanges | 2.50 USD for contract (min. 25 USD) |
| 2.4. Transaction with shares of CBL Asset Management funds | Free of charge ² |
| 2.5. Transaction with other fund shares ² | 0.2% (min. 20 EUR) |

¹ For debt securities fee is calculated of the nominal value, for other instruments out of transaction value.

² If transactions with shares of CBL Asset Management funds are at least EUR 100 value, no transaction fee is applied. For smaller amounts, the same fees as for transactions with other fund shares are applied.