

Schedule of fees and charges for

# Private individuals

/Financial Instruments Accounts Administration and  
Trading/



**Vairāk  
iespēju**

**=  
Citadele**

# Financial instruments accounts administration

## 1. Financial instruments accounts administration

| Service   | Fee   |
|---|---|
| 1.1. Financial instruments safekeeping fee (per month):   | 0.02% (min. 1 EUR), free of charge for all CBL Asset Management funds |
| 1.2. Receipt of non-Baltic / transfer (all markets) of financial instruments  | 30 EUR  |
| 1.3. Internal transfer (between two Citadele bank financial instruments accounts, (commission charged from transferor)  | 10 EUR  |
| 1.4. Receipt / transfer of financial instruments against payment (RVP/ DVP)   | 50 EUR  |
| 1.5. Purchase-redemption transaction settlements. Settlements of transactions with financial instruments excluding transactions in fund shares, financial instruments traded on the Baltic stock exchanges and stocks and ETFs traded on USA, Canadian stock exchanges <sup>1</sup> | 10 EUR  |
| 1.6. Deregistration of financial instruments  | 50 EUR  |
| 1.7. Processing of financial instruments corporate actions orders   | 35 EUR + actual expenses  |
| 1.8. Preparation or submission of tax certification documents to local and foreign depositories   | 35 EUR + actual expenses  |
| 1.9. Commission fee for negative balance of customer's money financial instruments funds accounts (percent per annum)   | 24%   |

<sup>1</sup> Commission fee is applied when concluding transaction with Citadele bank using Citadele bank's brokerage service. To all other transactions, concluded not using Citadele bank's brokerage service, the commission fee for receipt and/or transfer against payment (RVP/DVP) of financial instruments is applied.

## Trading

### 2. Trading<sup>2</sup>

| Service  | Fee                                      |
|--|--|
| 2.1. Debt securities transactions                          | 0.1% (min. 50 EUR) <sup>3</sup>          |
| 2.2. Stock transactions (incl. ETF, ETN, ETC, ADR, GDR):   |  |
| 2.2.1. At Baltic, European, Scandinavian exchanges         | 0.4% (min. 20 EUR)                       |
| 2.2.2. At USA and Canadian stock exchanges                 | 0.04 USD/CAD per share (min. 25 USD/CAD) |
| 2.3. Transaction with options of the USA stock exchanges   | 2.50 USD for contract (min. 25 USD)      |
| 2.4. Transaction with shares of CBL Asset Management funds | Free of charge <sup>4</sup>              |
| 2.5. Transaction with other fund shares <sup>4</sup>       | 0.2% (min. 20 EUR)                       |

<sup>2</sup> For debt securities fee is calculated of the nominal value, for other instruments out of transaction value.

<sup>3</sup> By executing an over-the-counter (OTC) securities transaction at more favorable price for the client rather price specified in the limit order, the bank compensates the client 50% of the mark-up, which is formed from the difference between the price specified in the limit order and the actual execution price.

<sup>4</sup> Transactions with shares of CBL Asset Management funds are free of charge for transaction amounts in excess of EUR 100. For smaller amounts, the same fees as for transactions with other fund shares are applied.