

Client's ID

Dear client!

According to requirements of the law "Law on the Prevention of Laundering the Proceeds from Criminal Activity (Money Laundering) and of Terrorist Financing", Clients of the Bank must submit to the Bank filled and signed Declaration about Beneficial Owners. Please fill in the Declaration about Beneficial Owner. The Bank guarantees that the Clients' personal data, data on the Clients' accounts, deposits and deeds will be kept secret block letters where it is necessary.

Thank you for understanding!

- Initial declaration
 Amendments of previously provided information
 Declaration of BO change

INFORMATION ABOUT THE CLIENT

Company Name

Registration number No Date of Registration

INFORMATION ABOUT BENEFICIAL OWNER¹ (BO)

Name, surname

Date of birth Place of birth (country)

Personal Identity number (for Latvia' residents²)/ Identity number (for Latvia' non-residents³)

Information on personal identification document (for Latvian non-residents)

Type of document passport identity card Identity document No

Issuing date Valid thru

Identity document issuing authority

Identity document issuing country

Actual address

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

INFORMATION ABOUT BO'S OCCUPATION AND SOURCE OF FUNDS

Does BO hold any of following positions: Yes (please indicate position) No

- legal advisor or legal arrangement and company service provider who opens accounts on his/her behalf with financial institutions to perform financial operations on customers' behalf
- external accountant who opens accounts with financial institution on his/her behalf to perform financial operations on customer's behalf
- real estate agent

BO's personal activity (employment) sector (please specify according to NACE 2 classification):

.....;

BO'S SOURCE OF INCOME, SOURCE OF FUNDS:

- salary
- registered self-employment (specify type of activity)
- from lease of the personal property (specify property type)
- from real estate transactions (specify type of property)
- dividends / interest loans (credits) inheritance gift scholarship pension
- other (specify)

INFORMATION OF OWNERSHIP SHARES: share or voting shares quantity (percentage) % direct ownership indirect ownership

BO relation to Client: owner of capital shares/ voting shares declaration of trust

senior managing official (if the legal entity does not have any beneficial owner who either directly or indirectly owns the client – a legal entity, including through participating interests of at least 25% of the company's shares, or who either directly or indirectly controls the client)

other (please specify):

INFORMATION ABOUT POLITICALLY EXPOSED PERSON STATUS

Is BO a politically exposed person⁴, family member of a politically exposed person⁵ or person closely associated with a politically exposed person⁶?

Yes, please fill in the following information **No**

	<input type="checkbox"/> BO is politically exposed person	<input type="checkbox"/> BO is a family member of a politically exposed person or a person closely associated with a politically exposed person
Name, surname of a politically exposed person's	<i>Do not fill</i>	
The country where BO is currently/were formerly holding a politically exposed position		
<i>Position (please specify)</i>		
Head of State	<input type="checkbox"/>	<input type="checkbox"/>
Head of state administrative unit (municipality)	<input type="checkbox"/>	<input type="checkbox"/>
Head of government	<input type="checkbox"/>	<input type="checkbox"/>
Minister, deputy of minister or deputy of minister's deputy	<input type="checkbox"/>	<input type="checkbox"/>
State secretary	<input type="checkbox"/>	<input type="checkbox"/>
Other high-level official in government or state administrative unit (municipality)	<input type="checkbox"/>	<input type="checkbox"/>
Member of parliament or member of other similar legislative bodies	<input type="checkbox"/>	<input type="checkbox"/>
Member of governing bodies (board) of a political party	<input type="checkbox"/>	<input type="checkbox"/>
Judge of constitutional court, higher court or judge in a different level court (member of court institutions)	<input type="checkbox"/>	<input type="checkbox"/>
Member of highest revision (audit) institution council or board	<input type="checkbox"/>	<input type="checkbox"/>
Ambassador or chargés d'affaires	<input type="checkbox"/>	<input type="checkbox"/>
Member of Central bank's council or board	<input type="checkbox"/>	<input type="checkbox"/>
Chief officer in the armed forces	<input type="checkbox"/>	<input type="checkbox"/>
Member of management or supervisory bodies of State – owned enterprises	<input type="checkbox"/>	<input type="checkbox"/>
Director, deputy director and member of the board or equivalent function of an international organization or a person who is in a similar position in this organization	<input type="checkbox"/>	<input type="checkbox"/>

CLIENTS SIGNATURE AND ACKNOWLEDGMENT

I hereby certify that the information provided in this Declaration is complete and true and I undertake to inform the Bank immediately about any changes in the information provided about account and financial assets beneficial owner.

I certify that I am aware I will be held liable according to applicable laws for providing false or misleading information.

Relation with the Client **BO of the Client** **Client's authorized person**

.....
Name, surname *Signature* *Date*

Account No* Digipass number*

Key 1* K Key 2*

** Fill in if the Declaration will be send to the Banka by FAX (Only for the Banks's client!)*

BANK REMARKS

Representative of the Bank.....

Branch of the Bank

Seal

¹ **Beneficial Owner (BO)** - a natural person who is the owner of client - legal person or who controls the client, or on whose behalf, for whose benefit or in whose interests business relationship is being established or an occasional transaction is being executed, and it is at least:

- in the case of legal persons, a natural person who owns, in the form of direct or indirect shareholding, more than 25 per cent of the capital shares or voting stock of the legal person or who directly or indirectly controls it;
- in the case of legal arrangements, a natural person, who owns, or for whose interests a legal arrangement is established created or operating, or directly or indirectly exercises control over it, including the founder, trustee or supervisor (manager) of such entity.

² **Resident** - a natural person registered in the Population Register of the Republic of Latvia and to whom a personal identity number has been granted, as well as a physical person, whilst they are residing in Latvia, on the basis of a permanent or temporary residence permit issued by the Ministry of the Interior of the Republic of Latvia.

³ **Non-resident** - a natural person who is not registered in the Population Register of the Republic of Latvia and to whom a personal identity number of Latvia has not been granted.

⁴ **A politically exposed person** - a natural person, who in the Republic of Latvia or in foreign countries occupies or has been entrusted with prominent public functions : head of State, head of state administrative unit (municipality), head of government , minister, deputy of minister or deputy of minister's deputy, state secretary or other upper level official in government or state administrative unit (municipality), member of parliament or member of other similar legislative bodies, member of governing bodies (board) of a political party, judge of constitutional court, higher court or judge in a different level court (member of court institutions), member of highest revision (audit) institution council or board, member of central bank's council or board, ambassador, charge affaires, chief officer in the armed forces, member of management or supervisory bodies of State – owned enterprises, Head of an international organization (director, deputy director), and member of the board or a person who is in a similar position in this organization.

⁵ **Family member of a politically exposed person** – politically exposed person's spouse or a person similar to spouse status (considered as such only if the law of according state stipulate this status), child, or a child of politically exposed person's spouse or a person similar to spouse status, his spouse or a person similar to spouse status, parent, grandparent, grandchild, brother or sister.

⁶ **Person closely associated to politically expose person** - natural person who has business or other type of close relationship with politically exposed person, who is a shareholder in the same legal entity as politically exposed person, or who has sole beneficial ownership of a legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.