



DECLARATION ABOUT BENEFICIAL OWNER



Client's ID

Dear client!

According to requirements of the law "Law on the Prevention of Laundering the Proceeds from Criminal Activity (Money Laundering) and of Terrorist Financing", Clients of the Bank must submit to the Bank filled and signed Declaration about Beneficial Owners. Please fill in the Declaration about Beneficial Owner. The Bank guarantees that the Clients' personal data, data on the Clients' accounts, deposits and deeds will be kept secret. block letters where it is necessary.

Thank you for understanding!

- Initial declaration
- Amendments of previously provided information
- Declaration of BO change

INFORMATION ABOUT THE CLIENT

Name, surname

Date of birth Personal Identity Number

INFORMATION ABOUT BENEFICIAL OWNERS (BO)¹

Name, surname

Date of birth Place of birth (country)

Personal Identity number (for Latvia' residents²)/ Identity number (for Latvia' non-residents³)

Information on personal identification document (for Latvian non-residents)

Type of document passport identity card Identity document No

Issuing date Valid thru

Identity document issuing authority

Identity document issuing country

Actual address

(street, house/building name or number, apartment/office No, city (region, inhabited place), zip code, country)

INFORMATION ABOUT THE BO'S TAX RESIDENCY⁴

Is BO a tax resident of the Republic of Latvia? Yes No

Is BO a citizen/tax resident of the United States (U.S.)? Yes No
if 'Yes', please submit Form W-9.

Is BO a tax resident of a country other than the Republic of Latvia and/or the U.S.? Yes No
(Please also specify if you are a tax resident of the Republic of Latvia and/or the US)

If answer is "Yes" in the previous then please specify the country (countries) and taxpayer identification number(s).

Country	Taxpayer Identification Number

INFORMATION ABOUT BO'S OCCUPATION AND SOURCE OF FUNDS

Does BO hold any of following positions: Yes (please indicate position) No

- legal advisor or legal arrangement and company service provider who opens accounts on his/her behalf with financial institutions to perform financial operations on customers' behalf
- external accountant who opens accounts with financial institution on his/her behalf to perform financial operations on customer's behalf
- real estate agent

BO's personal activity (employment) sector (please specify according to NACE 2 classification):

.....;

¹ **Beneficial Owner (BO)** - is a natural person on whose name or for whose benefit or in whose interests a business relationship is established or a separate transaction is performed without establishing a business relationship.

² **Resident** - a natural person registered in the Population Register of the Republic of Latvia and to whom a personal identity number has been granted, as well as a physical person, whilst they are residing in Latvia, on the basis of a permanent or temporary residence permit issued by the Ministry of the Interior of the Republic of Latvia.

³ **Non-resident** - a natural person who is not registered in the Population Register of the Republic of Latvia and to whom a personal identity number of Latvia has not been granted.

⁴ **Tax resident** - a natural person whose income is subject to taxation under the laws and regulations of relevant country, e.g., based on the place of permanent residence, duration of the stay (usually 183 days and more in the period of 12 months), close personal or economic relations or other criteria serving as the basis for the taxation of income in the relevant country (e.g. in the US, inter alia a US citizen/resident who has been assigned a residence permit (Green Card), shall be deemed a US tax resident).

⁵ **A politically exposed person** – a natural person, who in the Republic of Latvia or in foreign countries occupies or has been entrusted with prominent public functions : head of State, head of state administrative unit (municipality), head of government , minister, deputy of minister or deputy of minister’s deputy, state secretary or other upper level official in government or state administrative unit (municipality), member of parliament or member of other similar legislative bodies, member of governing bodies (board) of a political party, judge of constitutional court, higher court or judge in a different level court (member of court institutions), member of highest revision (audit) institution council or board, member of central bank’s council or board, ambassador, charge affaires, chief officer in the armed forces, member of management or supervisory bodies of State – owned enterprises, directors, deputy directors and member of the board or equivalent function of an international organization (director, deputy director), or a person who is in a similar position in this organization.

⁶ **Family member of a politically exposed person** - politically exposed person’s spouse or a person similar to spouse status (considered as such only if the law of according state stipulates this status), child, or a child of politically exposed person’s spouse or a person similar to spouse status, his spouse or a person similar to spouse status, parent, grandparent, grandchild, brother or sister.

⁷ **Person closely associated to politically expose person** – natural person who has business or other type of close relationship with politically exposed person, who is a shareholder in the same legal entity as politically exposed person, or who has sole beneficial ownership of a legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.