

## **Rīkojumu izpildes vietu saraksts regulējamos tirgos**

Stāvoklis uz 2011. gada 01. novembri.

### **AS „CITADELE BANKA” IR BIRŽAS BIEDRS UN TAI IR TIEŠA PIEKĻUVE:**

- OMX Rīgas Fondu Biržai
- OMX Tallin Stock Exchange
- OMX Vilnius Stock Exchange

### **IZMANTOJOT STARPNIĒKU AS „CITADELE BANKA” IR PIEKĻUVE ŠĀDĀM BIRŽĀM:**

#### **Eiropas valstu akcijas**

- Athens Stock Exchange
- Bratislava Stock Exchange
- Bucharest Stock Exchange
- Budapest Stock Exchange
- Bulgarian Stock Exchange
- BX Berne Exchange
- Irish Stock Exchange
- Istanbul Stock Exchange
- Ljubljana Stock Exchange
- London Stock Exchange
- Luxembourg Stock Exchange
- Madrid Stock Exchange
- Milan Stock Exchange
- NASDAQ OMX Copenhagen
- NASDAQ OMX Helsinki
- NASDAQ OMX Stockholm
- NYSE Euronext Amsterdam Stock Exchange
- NYSE Euronext Brussels Stock Exchange
- NYSE Euronext Lisbon Stock Exchange
- NYSE Euronext Paris Stock Exchange
- Oslo Stock Exchange
- Prague Stock Exchange
- SIX Swiss Exchange
- Vienna Stock Exchange
- Warsaw Stock Exchange
- XETRA, Frankfurt
- Zagreb Stock Exchange

#### **Krievijas un citu NVS valstu akcijas**

- MICEX (Moscow Interbank Currency Exchange)
- RTS (Russian Trading System Stock Exchange)

#### **Ziemeļamerikas valstu akcijas**

- American Stock Exchange (AMEX)
- NASDAQ
- NYSE Euronext
- Toronto Stock Exchange

**Pārējo valstu akcijas**

- Abu Dhabi Securities Exchange
- Australian Securities Exchange
- Hong Kong Stock Exchange
- Johannesburg Stock Exchange
- NASDAQ Dubai
- New Zealand Stock Exchange
- Singapore Stock Exchange
- Tokyo Stock Exchange

**Krievijas obligācijas**

- MICEX (Moscow Interbank Currency Exchange)

**Biržas instrumenti – derivatīvi**

- CME Group:
  - Chicago Board of Trade
  - Chicago Board Options Exchange
  - Chicago Mercantile Exchange
  - CME Globex
- ECX (European Climate Exchange)
- EUREX Deutschland / EUREX Zurich
- ICE (Intercontinental Exchange)
  - ICE Futures Europe
  - ICE Futures U.S.
- LME (London Metal Exchange)
- NYMEX / COMEX (New York Mercantile Exchange)
- NYSE Euronext Derivatives Market:
  - Amsterdam
  - Brussels
  - Lisbon
  - Paris
  - NYSE Liffe

(Index & equities futures, options):

- *Hong Kong Exchanges and Clearing Ltd.*
- *IDEM / Borsa Italiana (Italian Derivatives Exchange Market)*
- *MEFF (Madrid Exchange for Financial Futures)*
- *Montreal Exchange*
- *Osaka Securities Exchange*
- *Singapore Exchange Ltd.*
- *Taiwan Futures Exchange*
- *Tokyo Financial Exchange*
- *Vienna Stock Exchange*

**Akciju opcijas**

- NASDAQ
- NYSE Euronexte

**1. pielikums**  
pie AS „Citadele banka”  
„Rīkojumu izpildes politika ieguldījumu pakalpojumu sniegšanas jomā”

**ieguldījumu fondu apliecības**

- Aviva Fund Services S.A.
- Banque DeGroof Luxembourg S.A.
- Brown Brothers Harriman (Luxembourg) S.C.A
- Caceis Bank Luxembourg
- Citadele Investīciju Valdymas
- Citco Fund Services
- Cyroman Services Ltd
- DWS Investment S.A.
- East Capital Assets Management AB
- European Fund Administration S.A
- Franklin Templeton International Services S.A.
- GAM Fund Management Limited
- Swedbank (EE)
- HSBC Trinkaus & Burkhardt AG
- Man Investments AG
- Meriden I.F.M.
- MM Warburg & Co Luxembourg S.A.
- Pareto Forvaltning AS
- Schroder Investment Management (Luxembourg) S.A.

***AS „CITADELE BANKA” BROKERU SARAKSTS DARĪJUMIEM AR FINANŠU INSTRUMENTIEM***

- Adamant Capital Partners AD
- Adix
- AFS Interest B.V.
- ADM Investor Services International Limited
- AK Jensen Inc
- Atonline
- Bank of Cyprus
- Balkan Advisory Company IP EAD
- Barclays Capital
- Calenia Investment Limited \*
- Carl Kliem S.A.
- Citadele Bankas AB, (LT) \*
- Citibank International
- Dom Inwestycyjny BRE Banku S.A.
- FINAM LIMITED
- FXCM Securities Limited
- Goldman Sachs International
- HSBC Trinkaus & Burkhardt AG
- JP Morgan Chase Bank N.A.
- Kepler Capital Markets
- KIT Finance Europe AS (EE)
- LCF Edmond de Rothschild Securities Limited
- Marex Financial Ltd
- Merrill Lynch International
- Metropol
- Miller Tabak Roberts Securities LLC
- Morgan Stanley & Co International (UK) (previously business transacted with Morgan Stanley Capital Group)
- NFBK
- NKB Investments Limited

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- Pareto Securities AS
- Pinnacle Capital Markets Inc
- Raiffeisen Bank Aval OJSC (UA)
- Phoenix Capital
- Renaissance Securities (Cyprus)
- RONIN EUROPE
- TD Investments Limited
- Wood & Company Financial Services, a.s.
- ViewTrade Securities Inc.

**DEPOZITĀRIJU SARAKSTS**

- Aviva Investors Luxembourg S.A
- Balkan Advisory Company IP EAD
- Banque DeGroof Luxembourg S.A.
- BRE Bank Warsaw
- Brown Brothers Harriman (Luxembourg) S.C.A.
- BNP Paribas Securities Corp.
- CACEIS BANK LUXEMBOURG
- Calenia Investments Limited \*
- Citco Fund Services
- Clearstream Banking SA
- Cyproman Services Ltd
- Danske Bank
- DWS Investment S.A.
- East Capital Assets Management AB
- Eesti Vaartpaberikeskus AS (EE)
- European Fund Administration SA
- Franklin Templeton International Services S.A.
- GAM Fund Management Limited
- HSBC Trinkaus und Burkhardt AG
- JPMorgan Chase Bank Ltd
- JSC "ATFBank"
- KIT Finance Europe AS (EE)
- Latvijas Centrālais Depozitārijs
- Man Corporate Services (Ireland) Limited
- Meriden I.F.M.
- Citadele Bankas AB (LT) \*
- Citadele Investiciju Valdymas UAB (LT)\*
- PARETO FORVALTNING AS
- Penson Financial Services, Inc
- Raiffeisen Bank International
- Raiffeisen Bank Aval OJSC (UA)
- Schroder Investment Management (Luxembourg) S.A.
- SEB Bank AB (LT)
- SWEDBANK AS (EE)
- VTB Bank OJSC (RU)

\* Sarakstā esošās sabiedrības ietver AS „Citadele banka” meitas sabiedrības un „Citadele banka” grupas uzņēmumus.

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**AS „CITADELE BANKA” VAR NODROŠINĀT KLIENTU RĪKOJUMU IZPILDI  
PATSTĀVĪGI SLĒDZOT DARĪJUMUS SAVĀ VĀRDĀ, AR ŠĀDIEM FINANŠU  
INSTRUMENTIEM:**

- Visas obligācijas, kas tirgojas ārpus regulējama tirgu (OTC)
- Visas akcijas, kas tirgojas ārpus regulējama tirgu (OTC)
- Maržinālā valūtu tirdzniecība (FOREX)
- OTC derivatīvi (akcijas, obligācijas, prece)
- IRS, valūtu SWAP un Forward, NDF
- Citi strukturētie produkti (akcijas, obligācijas, valūtas maina, prece).